

Connecticut Department of Transportation “Local Transportation Capital Improvement Program” (LOTICIP)



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Connecticut
Department of Transportation



Background of Transportation Improvements with the CTDOT on Municipally-owned Roadways

1991 ISTEA Federal STP-Urban Program

Provides \$40-60 million in Federal funds for eligible roadways

CTDOT provides oversight - resource intensive

Most Municipalities are not accustomed to and have difficulty with Federal Title 23 requirements



2009 American Recovery and Reinvestment Act (ARRA)

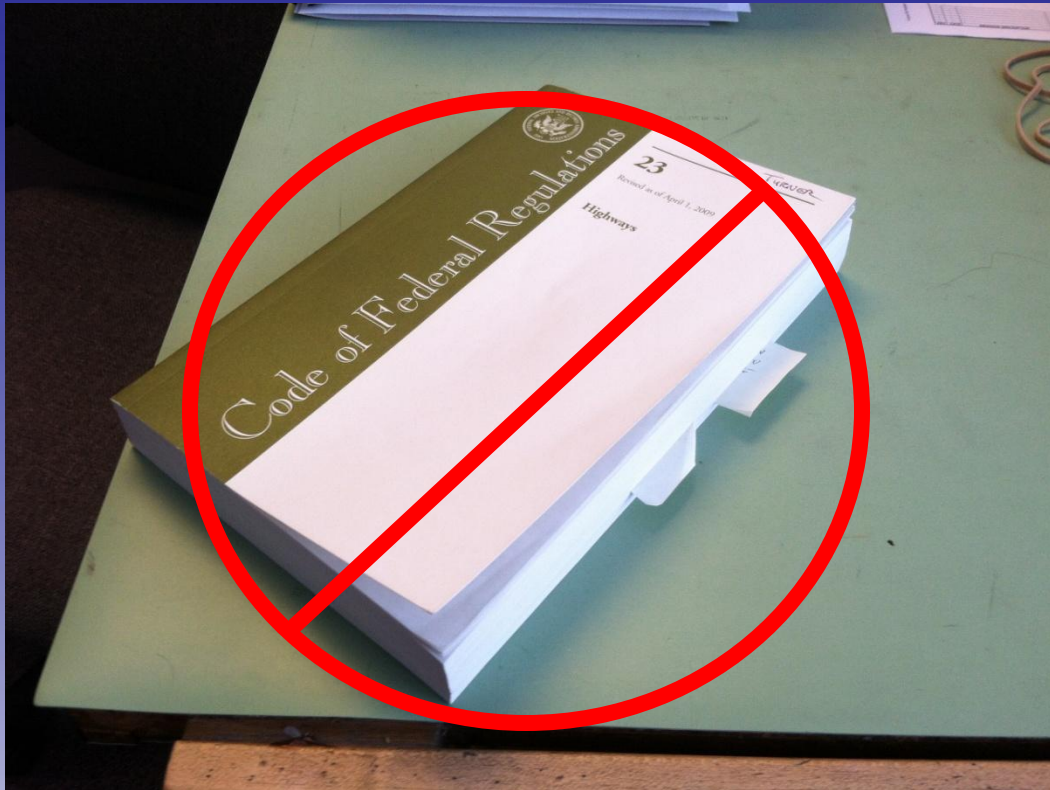
\$90 million of Federal stimulus funds programmed to the Municipalities in Connecticut

Federal Title 23 requirements with CTDOT oversight and extensive reporting

Very burdensome on the CTDOT and Municipalities



How Can the CTDOT Improve its Business Practice?



Federal Title 23 Regulations



The CTDOT Proposed a New Fund Swap (LOTICIP) Program

Two Main Goals:

- **Develop a program that makes it easier for Municipalities to implement local capital improvements with State funds**
- **Minimize CTDOT oversight and allow CTDOT to focus its limited resources on Federal aid**



LOTICIP Development

Legislation drafted September 2012

Professional Working Group formed in February 2013 to write LOTICIP guidelines - includes CTDOT, Municipal and Regional members



Public Act 13-239 passed July 2013 establishing LOTICIP, 100% State-funded Program effective November 2013



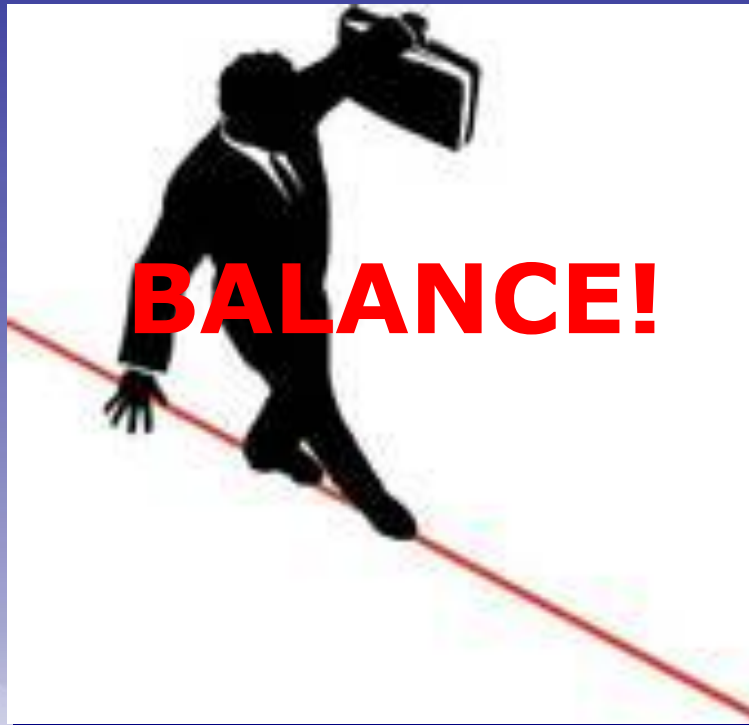
Legislation Content

- Establishes the LOTCIP program
- CTDOT Commissioner may request bond funds for the program each year
- The CTDOT shall accept applications from COG's and funding provided under CTDOT written guidelines
- Improvements will have a service life of approximately 20 years
- Improvements are not a State action when on a locally-owned roadway for Flood Management permitting



The LOTCIP is intended to be a balance between a grant program and Federal Title 23 regulations

Grant Program



Federal Title 23 Regulations

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LOTICIP Guidelines

CONNECTICUT DEPARTMENT OF TRANSPORTATION

Connecticut Department of Transportation

LOCAL
IMPROVEMENT
GUIDELINES

CONNECTICUT DEPARTMENT OF TRANSPORTATION
LOCAL TRANSPORTATION
CAPITAL IMPROVEMENT

LOTICIP

LOCAL TRANSPORTATION CAPITAL
IMPROVEMENT PROGRAM
GUIDELINES



CONNECTICUT
DEPARTMENT OF TRANSPORTATION
NOVEMBER 2021



**Fourth Edition
LOTICIP
November
2021
Guidelines is
available on
CTDOT
website-just
Google LOTICIP**



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LOTICIP Basic Parameters

- **LOTICIP State Funds distributed to the nine Regional Council of Governments (COGs) following the Federal Population Model**
- **LOTICIP State funds are held in CTDOTs Financial Management system in COG specific accounts until LOTICIP project is ready for delivery**
- **LOTICIP to mirror Federal STBG eligibility; roadway classification, urbanized areas, etc.**



LOTICIP Basic Parameters (cont.)

- **Project scoping and design responsibilities are shifted to the COGs and Municipalities**
- **Municipality pays 100% of project design costs (considered local share)**
- **100% LOTICIP State-funded construction phase**
- **CTDOT not involved in design reviews (unless affecting a State-owned roadway) or construction oversight/inspection**



LOTICIP Application to CTDOT

- **COG solicits member Municipalities for project proposals**
- **COG ranks and selects project proposals through a competitive process-CTDOT does not dictate the selection process**
- **Municipality completes full LOTICIP project application**
- **COG submits LOTICIP project applications to the CTDOT**



LOTICIP Preliminary Design

- **CTDOT reviews proposals for application completeness, eligibility, purpose and need and service life**
- **Once satisfied, CTDOT sends a Commitment to Fund letter to the COG and Municipality**
- **Municipality begins preliminary design**
Note: CTDOT will not be performing technical reviews of the design
- **If change in scope or 20% cost increase Municipality must justify to COG/CTDOT**



LOTICIP Rights of Way/Environmental Permitting

- **Rights of Way: Can be acquired by the Municipality or State following the “Uniform Act”**
- **Environmental permitting is the responsibility of the Municipality**
- **Flood Management Certification is the responsibility of the Municipality when improvements are on a locally-owned roadway**



LOTICIP Environmental Permitting (Cont.)

- **CTDOT/DEEP recently established monthly project screening meetings for municipalities**
- **Scheduled through CTDOT; opportunity to resolve issues**
- **Language included in our Commitment to Fund letters to remind the towns to contact DEEP early in design**



LOTICIP Final Design

- **At completion of design, Municipality forwards a Final Design submission (PS&E) to the COG then to CTDOT along with certifications**
- **Upon acceptance of contract package, CTDOT sends a Project Authorization Letter (PAL/Master agreement) to Municipality based on final estimate**
- **Upon receipt of signed PAL, CTDOT authorizes Municipality to advertise project**



LOTICIP Construction

- **Municipality opens bids, CTDOT makes grant payment to Municipality for 100% of low bid plus 10% contingencies and 10% incidentals-note Construction funds are capped at this amount**
 - **Contract administered by Municipality**
 - **Municipal employee to be in responsible charge of LOTICIP project**
 - **Inspection by Municipal staff or consultant**
- Note: No CTDOT oversight**



LOTICIP Construction

- **Final Package submission to the CTDOT: acceptance of project and materials certification**
- **Final Audit**



LOTICIP State Funding

- **\$45 Million allocated each year for FY 14 & 15 at the start of LOTICIP**
- **\$67 Million proposed in Governors Budget for FY 22 & 23**
- **Requested LOTICIP budgetary amounts have generally followed the Federal STBG-Urban amount provided to CTDOT**

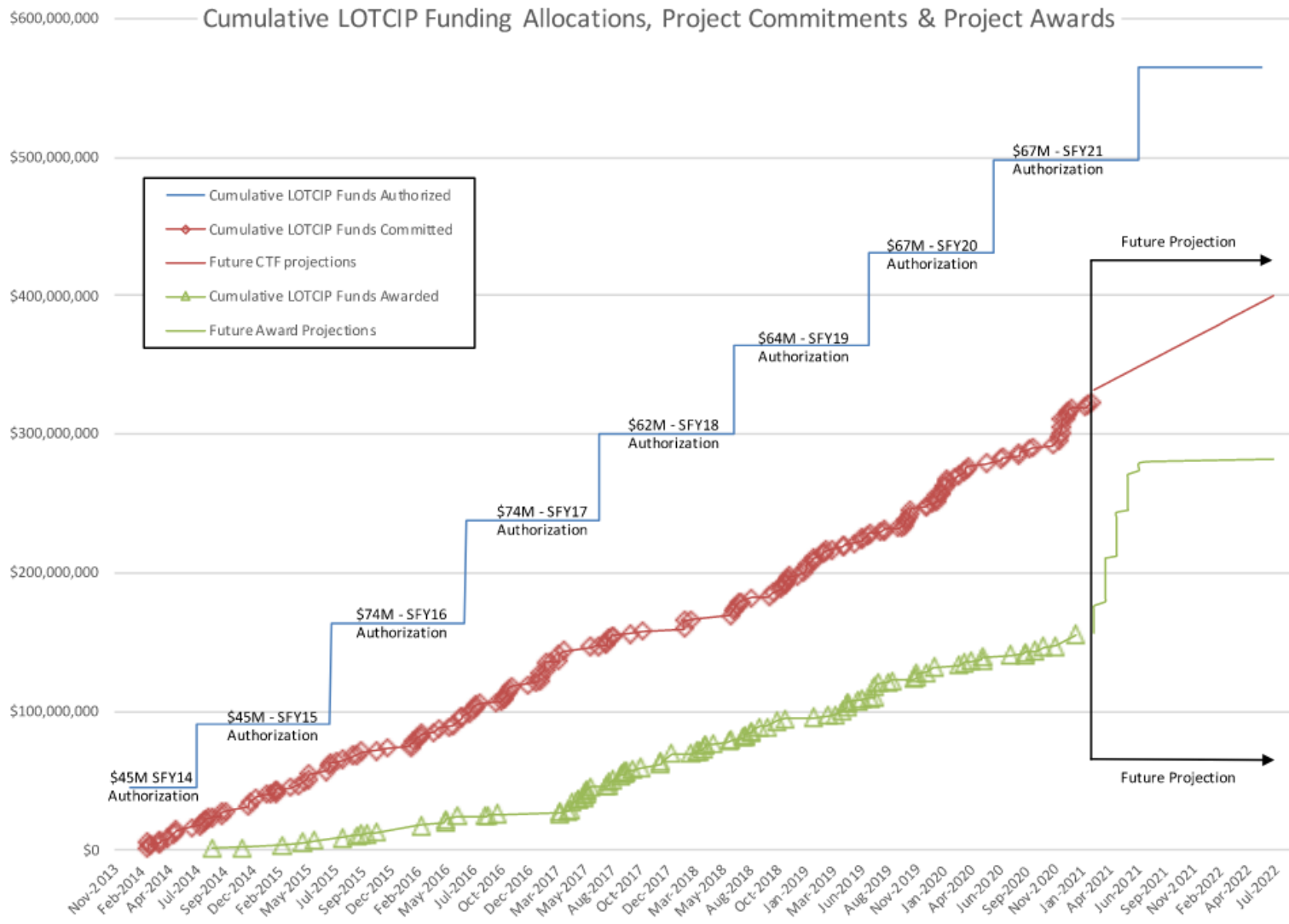


LOTICIP Communication to the **COG's**

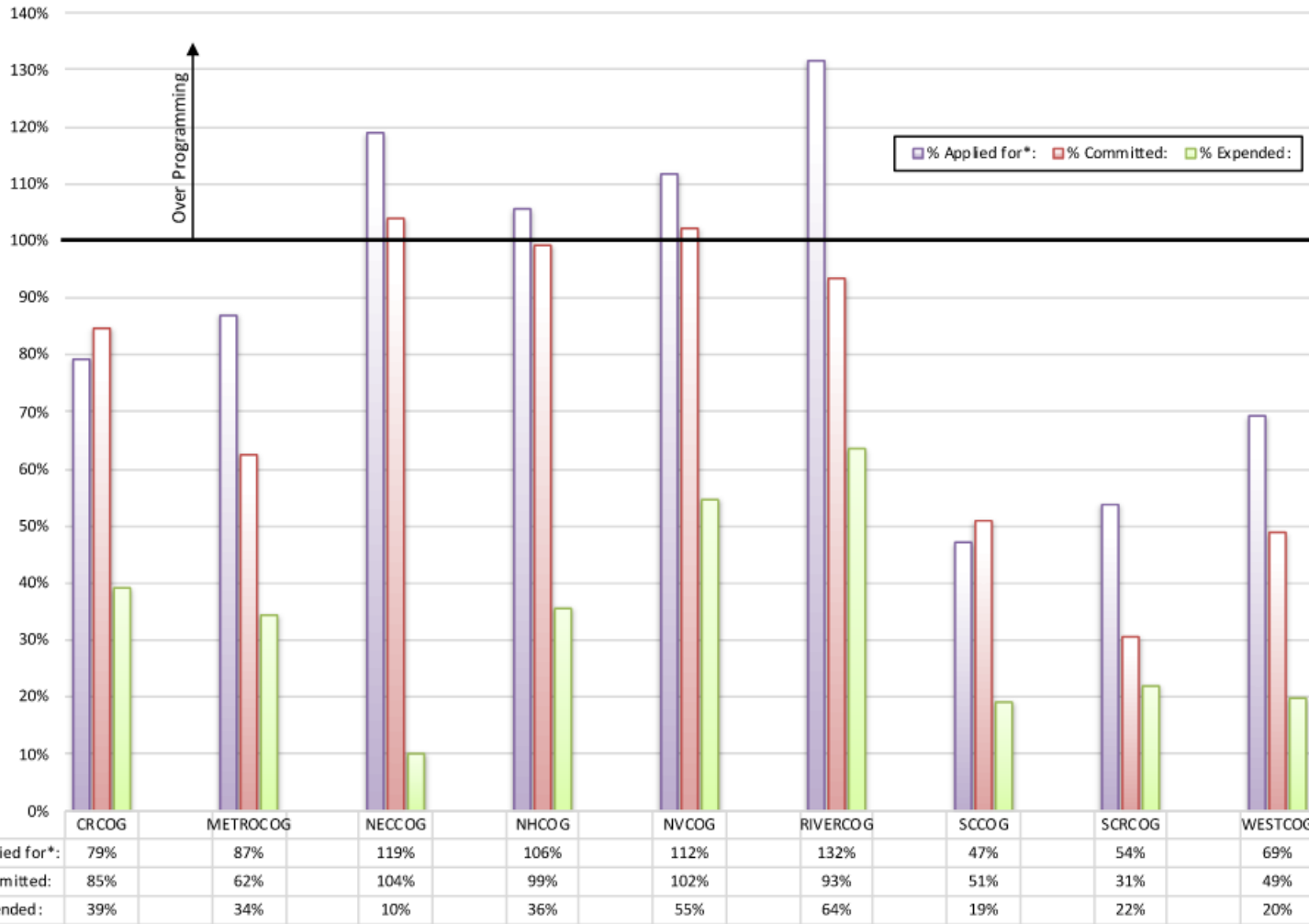
- **CTDOT has regular quarterly meetings with all COG's across the state**
- **CTDOT's opportunity to dialogue on current LOTICIP status/issues at each quarterly meeting**
- **Common topics are funding levels and project delivery**



Cumulative LOTCIP Funding Allocations, Project Commitments & Project Awards



Percent (%) of Available LOTCIP Funds: Applied for*, Committed, & Expended by COG



*LOTCIP application values submitted to CTDOT by COG



Value of Quarterly Reporting

- **Allows the CTDOT and COG to manage and track overall costs and schedules**
- **As projects are delivered, what total funds are available**
- **Identifies projects whose schedules are not being met**
- **CRCOG example:**



LOCAL TRANSPORTATION CAPITAL IMPROVEMENT PROGRAM

REGIONAL QUARTERLY STATUS REPORT

REGION: CRCOG

* Includes contract items, contingency, and incidentals.

AS OF: December 31, 2016

Note: Quarterly Status Reports should be completed as of September 30, December 31, March 31, and June 30th.

PROJECTS RECEIVING AUTHORIZATION TO AWARD/AUTHORIZATION TO PROCEED:								
LOTICIP #	TOWN	ROUTE/ROAD	LOTICIP AWARD (Based on Low Bid)	FINAL COMMITMENT TO FUND VALUE	PROJECT AWARD DATE	ESTIMATED COMPLETION DATE	PROJECT EXPENDITURES TO DATE*	ACTUAL COMPLETION DATE
L164-0001	Windsor	Kennedy Road	\$647,867.50	\$789,000.00	10/13/2014	11/7/2015	\$694,305.00	11/7/2015
L088-0001	New Britain	Allen Street	\$1,600,000.00	\$1,600,000.00	5/21/2015	11/17/2015	\$1,828,613.00	11/17/2015
L109-0001	Plainville	Cooke Street	\$1,365,360.00	\$1,392,720.00	9/21/2015	7/15/2016	\$1,050,000.00	TBD
L051-0001	Farmington	South Road	\$1,972,713.14	\$2,476,800.00	6/9/2016	8/15/2017	\$661,123.00	TBD
L076-0001	Manchester	Hillstown Road and Spencer Street	\$2,231,600.00	\$2,263,000.00	6/15/2016	5/11/2017	\$976,790.00	TBD
L048-0001	Enfield	Freshwater Boulevard	\$1,251,600.00	\$1,146,000.00	8/30/2016	11/15/2016	\$701,303.98	11/14/2016

PROJECTS RECEIVING COMMITMENT LETTER TO FUND:								
LOTICIP #	TOWN	ROUTE/ROAD	PROJECT DESCRIPTION	CURRENT COMMITMENT TO FUND VALUE	COMMITMENT TO FUND DATE	ESTIMATED PD SUBMISSION DATE	ESTIMATED 90% DESIGN SUBMISSION DATE	ESTIMATED FINAL DESIGN SUBMISSION DATE
L032-0001	Coventry	Lake Street / Cross Street	Reconstruction	\$1,518,000.00	9/28/2016	2/26/2015	11/3/2015	8/9/2016
L011-0001	Bloomfield	Granby Street	Reconstruction	\$882,000.00	2/18/2014	4/12/2016	1/30/2017	4/15/2017
L164-0002	Windsor	Prospect Hill Road	Reconstruction	\$1,983,600.00	6/12/2015	4/18/2016	7/22/2016	11/10/2016
L132-0001	South Windsor	Avery Street Reconstruction (2)	Reconstruction	\$2,126,400.00	2/18/2014	1/14/2016	6/30/2016	12/30/2016
L055-0001	Granby	Route 10 / 202 Sidewalks	Stand-Alone Sidewalk	\$510,000.00	2/18/2014	4/20/2014	10/30/2016	11/30/2016
L118-0001	Rocky Hill	Old Forge Road	Pavement Rehab	\$1,015,200.00	7/27/2016	N/A	8/23/2016	12/13/2016
L139-0001	Suffield	Thrall Avenue	Pavement Rehab	\$1,395,600.00	2/2/2015	3/17/2016	12/1/2016	3/31/2017
L132-0002	South Windsor	Buckland Road	Stand-Alone Sidewalk	\$1,280,200.00	10/6/2016	4/11/2016	4/28/2017	6/30/2017
L078-0001	Marlborough	South Main Street	Pavement Rehab	\$1,090,800.00	10/30/2015	9/30/2016	1/15/2017	3/5/2017
L053-0002	Glastonbury	House Street to Western Boulevard	Multi-Use Trail	\$782,400.00	1/27/2016	9/30/2016	1/2/2017	2/1/2017
L053-0001	Glastonbury	Hebron Avenue	Pavement Rehab	\$1,111,200.00	2/26/2016	9/30/2016	1/15/2017	3/1/2017
L155-0001	West Hartford	Troute Brook Trail - Phase 6	Multi-Use Trail	\$355,200.00	2/2/2015	4/30/2016	1/31/2017	3/31/2017
L076-0002	Manchester	North Main Street	Reconstruction	\$2,014,400.00	1/29/2016	7/22/2016	2/3/2017	4/28/2017
L132-0003	South Windsor	Avery Street Reconstruction (3)	Reconstruction	\$2,259,400.00	2/8/2016	5/19/2017	8/25/2017	11/22/2017
L131-0001	Southington	Jude Lane	Intersection Improvement	\$591,200.00	6/17/2016	12/29/2016	10/1/2017	12/1/2017
L042-0001	East Hartford	Brewer Street - Phase 3	Reconstruction	\$1,695,903.00	11/4/2015	6/19/2017	9/17/2018	10/29/2018
L066-0001	Hebron	Church Street Sidewalks	Stand-Alone Sidewalk	\$880,400.00	10/5/2016	5/30/2017	9/30/2017	11/30/2017

LOTICIP ADMINISTRATIVE AWARDS:	
\$1,552,360.00	Completed
	Anticipated Next Quarter
	Red Text - Submission scheduled but not received during quarter



Yearly LOTCIP Financial Meetings

- **CTDOT meets with each individual COG on a yearly basis to discuss their overall financial plan**
- **Allows for a discussion on future planning and overprogramming**
- **Discussion on future solicitations, cost and schedule management**



Current Status of LOTCIP

- **Approximately \$500 million in state funds available across all COG's since program inception for infrastructure improvements**
- **Governors tentative FY 22 & 23 LOTCIP budget proposes \$67 million and \$67 million in each year**
- **179 project proposals submitted to CTDOT-\$ 328 million worth of future construction**



Key Points to Remember

- **The Regional Council of Governments and Municipalities should continue to focus on delivering their projects**
- **In order to continue to receive LOTCIP funds statewide the Department must show steadily increasing expenditures**
- **The Municipalities, COG's and CTDOT must work together with the projected budget amounts to make the LOTCIP a success**



Questions?
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